

## COURSE INFORMATION

### Text:

No text, many handouts (see below)

### Class structure:

Each student will prepare two reports on two formal methods topics. (Topic suggestions are below.) Each class period, a student and the instructor will jointly cover one selected topic.

### Evaluation:

#### *Available grades:*

non-completion: Incomplete, Withdraw, etc.

completion: A A- B+ B B- C

A: reserved for superior performance

A- or B+: expected grade for conscientious performance

B: adequate work

B-: barely adequate

C: equivalent to failing

#### *Grading Options:*

1. Performance Quality: attendance, participation, assigned exercises
2. Grading Contract: specify a set of behaviors and an associated grade.
3. Self-determined: negotiate with instructor

#### *Discussion:*

If you prefer a clearly defined agenda, if you do well with concrete task assignments, or if you need a schedule of activities for motivation, then **Option 1** is a good idea.

If you already understand the field, if you plan to excel in a particular area, or if you need clear performance goals for motivation, then **Option 2** is a good idea.

If you are not concerned about grades, if you intend to do what you choose anyway, or if you are self-motivated, then **Option 3** is a good idea.

I will notify any student who is not on a trajectory for personal success.

## Course content:

Formal methods is a body of mathematically-based techniques, often supported by reasoning tools, that offers rigorous ways to model, design, and analyze systems.

We will explore a number of specific applications of formal methods. The course will focus on implementations of tools and techniques and the use of these tools. Each class, the instructor will give a lecture on the mathematical techniques of a particular formal method. During the same class period, students will present their research and experiences with the implementation of that technology.

Although the Computer Science community limits formal methods to applications of logic and predicate calculus, this course will take a slightly broader viewpoint. Numerical and algebraic techniques such as matrix algebra, probability theory, and integer theory will be excluded, but exotic symbolic approaches such as fractals, cellular automata, and boundary mathematics will be included as possible topics. Pure programming languages (Prolog, ML, Haskell, LISP, Mathematica) are also valid topics.

Individual homework will consist of a short selected reading on each topic, personal exploration of implementations of at least two formal tools, one or two class presentations, and whatever exercises necessary for understanding.

## A Quote from the Oxford Group

"There's a battle going on in computer science that will probably never be fully resolved, between those who think programs are fundamentally mathematical, and those who eschew mathy techniques as being too tedious for use with real-world programs. Despite a layperson misperception to the contrary, most programmers avoid math just as most nonprogrammers do, with the result that more than 99% of software is developed today as nonmath.

Formal methods is the name for the techniques of mathematically proving that programs do what they're supposed to. The theory is that programs aren't physical objects, they are ideas; they don't break down, and they don't wear out, the way physical objects do. A perfect program will therefore remain perfect forever. Formal methods exist to make such perfect programs, compared to which even the most well-crafted nonmath program is fundamentally a buggy slapped-together sloppy mess.

It would be nice if formal methods were more widely accepted, because as programs grow larger and larger the interspersed bugs make them more and more unreliable. But formal methods slow the pace of program development so much, and fit so poorly into the messy but productive real world, that they are used only rarely even in potentially life-threatening systems.

## Some Formal Techniques

The list of topics which follows is organized by mathematical techniques, with application areas following the mathematical topic (asterisks mark recommended topics).

### **Propositional calculus (Boolean logic)\*\***

circuit design, hardware verification, Boolean minimization, control theory

### **Predicate Calculus\*\***

expert systems, specification languages, theorem provers, correctness and verification

### **Logic Extensions**

non-monotonic reasoning, temporal logic, process algebra

### **Mathematical Induction and Recursive Function Theory\*\***

proof technique, recursive programming, programming

### **Relational Calculus\***

relational databases, constraint solving

### **String Rewrite Theory\***

mathematical computation, process modeling, parsers and compilers

### **Theory of Computation\***

worst-case algorithms, time and space complexity

### **Fractals**

computer graphics, compression, computer art

### **Binary Decision Diagrams**

hardware modeling

### **Lambda Calculus and Combinators**

functional programming

### **Group Theory and Modern Algebra**

coding theory, 3D motion

### **Finite State Automata**

state space problem solving, string recognition, state transition systems

### **Cellular Automata**

chaos modeling

### **Boundary Mathematics**

visual languages, logic and numerical simplification, parallel processing

### **General Systems Theory**

systems modeling, control theory

## References

### General:

Bavel (1982), Math Companion for Computer Science, Prentice-Hall  
Gilbert (1976), Modern Algebra with Applications, Wiley  
Grassmann and Tremblay (1996), Logic and Discrete Mathematics, Prentice-Hall  
Gries and Schneider (1993), A Logical Approach to Discrete Math, Springer-Verlag  
Grimaldi (1999), Discrete and Combinatorial Mathematics, Fourth edition, Addison-Wesley  
Lucas (1985), Introduction to Abstract Mathematics, Second edition, Ardsley House  
Wolfram (1996), The Mathematica Book, Third edition, Cambridge Press

### Specific:

Aho, Sethi and Ullman (1986), Compilers, Addison-Wesley  
Barwise and Etchemendy (1993), The Language of First-Order Logic, Third edition, CSLI Stanford  
Forbus and DeKleer (1993), Building Problem Solvers, MIT Press  
Genesereth and Nilsson (1987), Logical Foundations of Artificial Intelligence, Kauffman  
Hopcroft and Ullman (1979), Introduction to Automata Theory, Languages, and Computation, Addison-Wesley  
Lakatos (1976), Proofs and Refutations, Cambridge U. Press  
MacLennan (1990), Functional Programming, Practice and Theory, Addison-Wesley  
Manna and Waldinger (1985), The Logical Basis for Computer Programming, Addison-Wesley  
Plasmeijer and vanEekelen (1993), Functional Programming and Parallel Graph Rewriting, Addison-Wesley  
Wos, Overbeek, Lusk and Boyle (1992), Automated Reasoning, Second edition, McGraw-Hill

## Web Pointers

Oxford University Computing Laboratory  
<http://www.comlab.ox.ac.uk/archive/formal-methods.html>

BYU Laboratory for Applied Logic  
<http://lal.cs.byu.edu/>

NASA Langley Research Center Formal Methods Program  
<http://shemesh.larc.nasa.gov/fm.html>

Swedish Institute of Computer Science  
<http://www.sics.se/fdt/research97.html>

UC Davis Programming Languages and Verification Laboratory  
<http://avalon.cs.ucdavis.edu/>

Stanford U. Center for Formal Methods  
<http://www-formal.stanford.edu/jmc/math.html>

## Applied Formal Methods

Warsaw U. Applied Logic Group

<http://zls.mimuw.edu.pl/english.html>

UC Berkeley Design Technology Warehouse

<http://www-cad.eecs.berkeley.edu/>

A Computational Logic

<http://www.cs.utexas.edu/users/moore/acl2/acl2-doc.html>

Formal Methods in Software Engineering

<http://wwwsel.iit.nrc.ca/projects/fm/fm.html>

Formal methods around the world

[http://lal.cs.byu.edu/other\\_FM.html](http://lal.cs.byu.edu/other_FM.html)

Software Development using Formal Methods Syllabus

<http://www.mcs.salford.ac.uk/sdformal.html>

Bibliography on software engineering and formal methods

<http://bavi.unice.fr/Biblio/SE/Contrib.html>

Seven Myths of Formal Methods

<http://www.progsoc.uts.edu.au/~geldridg/frsd/ass1/7myths.htm>

Formal Methods - selected historical references

<http://docs.dcs.napier.ac.uk/DOCS/GET/jones92a/document.html>

Books

<http://www.rspa.com/spi/formal.html>

## Rough Syllabus

NOTE: TOPICS may change by class consensus.

Class meeting	Topic
1 )	introduction
2 )	overview of formal methods
3 )	complexity, proof techniques
4 )	proof systems, boundary logic
5 )	Boolean minimization, bdds
6 )	abstract domains
7 )	pattern-matching and unification
8 )	recursive function theory
9 )	lambda calculus
10 )	combinators
11 )	theorem provers
12 )	theorem and program proving
13 )	Mathematica, string rewrite
14 )	relational algebra
15 )	finite state automata
16 )	cellular automata
17 )	abstract algebra and group theory
18 )	fractals
19 )	to be determined
20 )	review and summary